

## **M**EMORANDUM

To: Suzanne L. Smith

Assistant City Attorney, City of Seattle

From: Brian T. Grogan Client No. 46687.7

Date: May 18, 2015

Re: Qwest Broadband Services, Inc. – Legal Qualifications

Pursuant to the City's request, we have herein provided responses to the below questions related to the legal qualifications of Qwest Broadband Services, Inc. (also referred to as "QBSI").

- 1. Confirmation that QBSI is properly formed and authorized to do business in Washington State.
- 2. Confirmation that no adverse administrative, civil or criminal action has been taken against QBSI over past ten years.
- 3. Provide documentation that supports these confirmations, to be used as exhibits in the City Staff Report.

#### Replies

1. Confirmation that QBSI is properly formed and authorized to do business in Washington State.

The State of Delaware Division of Corporations' Entity Details shows that Qwest Broadband Services, Inc. was incorporated on May 10, 1999 and is in good standing as of May 13, 2015. See Entity Details from the Delaware Division of Corporations attached hereto as Exhibit A.

The State of Washington Corporations Registration Detail shows that Qwest Broadband Services, Inc. is registered as an active corporation in good standing with the State of Washington through July 31, 2015. See Registration Details attached hereto as Exhibit B.

Qwest Broadband Services, Inc. does not have any financing statements or non-UCC liens filed in the State of Delaware or Washington – Delaware Certificate and Washington State Department of Licensing search report attached hereto as Exhibit C.

King County Judgment Search results attached hereto as Exhibit D.

2. Confirmation no adverse administrative, civil or criminal action has been taken against QBSI over past ten years.

Qwest Broadband Services, Inc. has been a party to a number of civil cases, including all of the cases listed on the PACER Case Locator summary for periods from formation to May 13, 2015. Qwest Broadband Services, Inc. was also a party in a bankruptcy matter that was dismissed on January 29, 2002. Qwest Broadband Services, Inc. is party to an active patent infringement case entitled *United Access Technologies, LLC v. CenturyTel Broadband Services, LLC, et al.* in the State of Delaware. See PACER Case Locator Summary and the active Case Summary attached hereto as Exhibit E.

3. Provide documentation that supports these confirmations, to be used as exhibits in the City Staff Report.

See attached Exhibits A-E.

If you have any questions regarding the above, please do not hesitate to let me know.

### **EXHIBIT A** DELAWARE DIVISION OF CORPORATIONS' ENTITY DETAILS

Privacy Policy Frequently Asked Questions View Search Results Summary of Charges Logout

**Entity Details** 

File Number:

3040574

Incorporation Date / Formation Date:

05/10/1999 (mm/dd/yyyy)

Entity Name:

QWEST BROADBAND SERVICES, INC.

Entity Kind:

CORPORATION

Entity Type:

GENERAL

Residency:

DOMESTIC

State: DE

GOOD STANDING Status:

Status Date: 05/10/1999

TAX INFORMATION

Last Annual Report Filed:

2014

Tax Due:

\$ 0.00

Annual Tax Assessment:

\$ 175.00

Total Authorized Shares:

100

REGISTERED AGENT INFORMATION

Name:

THE CORPORATION TRUST COMPANY

Address:

CORPORATION TRUST CENTER 1209 ORANGE ST

City:

WILMINGTON

County:

NEW CASTLE

State:

DE

Postal Code:

19801

Phone:

(302)658-7581

#### FILING HISTORY (Last 5 Filings)

Seq	Document Code	Description	No. of pages	Filing Date (mm/dd/yyyy)	Filing Time	Effective Date (mm/dd/yyyy)
1	0240	Amendment; Domestic	1	07/07/2000	17:00	07/07/2000
	Former Name:	U S WEST BROADBAND S	SERVICES, INC.			
2	0250S	Merger; Survivor	2	02/28/2000	13:00	03/01/2000
3	02505	Merger; Survivor	2	12/29/1999	16:30	12/31/1999
4	0240	Amendment; Domestic	1	06/01/1999	16:30	06/01/1999
	Former Name:	U S WEST CABLE VENTU	RES, INC.			
5	01025	Incorp Delaware Stock Co.	2	05/10/1999	12:30	05/10/1999

Back to Entity Search

To contact a Delaware Online Agent click here.

# EXHIBIT B STATE OF WASHINGTON CORPORATIONS REGISTRATION DETAIL

### QWEST BROADBAND SERVICES, INC.

 UBI Number
 601966948

 Category
 REG

 Profit/Nonprofit
 Profit

 Active/Inactive
 Active

 State Of Incorporation
 DE

 WA Filling Date
 07/12/1999

Expiration Date 07/31/2015

Inactive Date

Duration Perpetual

Registered Agent Information

Agent Name CT CORPORATION SYSTEM
Address 505 UNION AVE SE STE120

 City
 OLYMPIA

 State
 WA

 2IP
 96501

Special Address Information

Address

City State Zip

#### Governing Persons

Title Name Address

Director EWING , R STEWART 100 CENTURYLINK DRIVE MONROE , LA 71203

President, Chairman POST, GLEN 100 CENTURYLINK DRIVE MONROE, LA 71203

Secretary BUCHART, KAY 100 CENTURYLINK DRIVE MONROE, LA 71203

Secretary INABNETT, CARRICK 100 CENTURYLINK DRIVE

MONROE, LA 71203

Vice President PUCKETT , KAREN 100 CENTURYLINK DRIVE MONROE , LA 71203

Vice President EWING , R STEWART 100 CENTURYLINK DRIVE MONROE , LA 71203

Vice President, Director GOFF , STACEY 100 CENTURYLINK DRIVE MONROE , LA 71203

Vice President COLE , DAVID 100 CENTURYLINK DRIVE MONROE , LA 71203

Vice President,Treasurer WILLIAM , GLYNN 100 CENTURYLINK DRIVE MONROE , LA 71203

## EXHIBIT C DELAWARE AND WASHINGTON STATE DEPARTMENT OF LICENSING SEARCH REPORT



The First State

#### CERTIFICATE

SEARCHED MAY 13, 2015, AT 5:25 P.M. FOR DEBTOR "QWEST BROADBAND SERVICES"

THE UNDERSIGNED FILING OFFICER HEREBY CERTIFIES THAT THERE ARE NO PRESENTLY EFFECTIVE FINANCING STATEMENTS, LAPSED FINANCING STATEMENTS, FEDERAL TAX LIENS OR UTILITY SECURITY INSTRUMENTS FILED IN THIS OFFICE WHICH NAME THE ABOVE DEBTOR, AS OF APRIL 24, 2015 AT 11:59 P.M.

20152058336UCXL

AUTHENTICATION: 2375567

DATE: 05-13-15

### Washington State Department of Licensing Uniform Commercial Code Debtor Information Search Report

Search number: 2015-1

2015-133-0857-1S

Name as provided:

Organization: QWEST BROADBAND SERVICES (Debtor)

Name searched:

Organization: QWESTBROADBANDSERVICES

Lien type searched: All

Lien status searched: Unlapsed

Search limited by:

Search logic used: Standard

Report: 5/13/2015 2:10:17 PM

**Through date:** 5/12/2015

Copies:

#### Certification:

The filing office certifies that the attached list (and copies, if any) is a true and exact representation of all financing statements and non-UCC liens for the name searched, as filed with the Department of Licensing, Uniform Commercial Code Program, as of the through date shown above. But a limited search may not reveal all records of the name searched and the searcher bears the risk of relying on such a search.

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Pat Kohler, Director, Department of Licensing

As of the Certified Through Date no records that match the search request shown above were  $\,$  on file in the Department of Licensing.

# EXHIBIT D KING COUNTY JUDGMENT SEARCH

DC1023MI Judgment/Dispo Inquiry (CVJ)  Case: 84-006106 SC Name: QWEST BROADBAND/CT CORP SYSTEM  Case: 84-006106 SC Case dispo: Appeal dates Be	TEM NmCd: CV
Judgment type: TJ Trial Judgment Judgment dispo: Judgment: 155.14 Amount paidItem Amt to dateItem Principal 155.14 Handling Interest Collection Reas aty fe Stat aty fe Filing Fee Stat damage Service Notary fee	: Balance: 155.14 Amt to dateItem Amt to date Witness fee Interpreter Jury fee Other
PLA 1 ALMEIDA, TED	DEF 1 QWEST BROADBAND/CT CORP SYSTEM
Name: QWEST BROADBAND/CT CORP SYSTEM  Case: 84-006106 SC Case dispo: Appeal dates Best Dudgment type: TJ Trial Judgment Judgment dispo:  *Judgment Participant	Pty: DEF 1 StID:
F PLA 1 ALMEIDA, TED A DEF 1 QWEST BROADBAND/CT CORP SYSTEM	
DC1001MI Civil Case Filing Inquiry (CIV)  Case: 84-006106 SC Name: QWEST BROADBAND/CT CORP SYSTEM Case: 84-006106 SC File date: 02 21 2 Title : TED ALMEIDA VS QWEST BROADBAN :	StID: NmCd: CV 008 Cause: GS Goods and Services
Amt of suit: 249.79PartiesDEF 1 QWEST BROADBAND/CT CORP SYSTEM CPLA 1 ALMEIDA, TED C	

#### DD1000PI D0071I More records available. 05/14/15 12:02:52 DD1001MI Case Docket Inquiry (CDK) KING COUNTY DISTRICT PUB \_\_\_\_ Case: 84-006106 SC Case: 84-006106 SC Name: QWEST BROADBAND/CT CORP SYSTEM NmCd: CV Name/Title: TED ALMEIDA VS OWEST BROADBAND/CT CORPORATION SYSTEM SC Small Claim Case: 84-006106 S 02 21 2008 Case Filed on 02/21/2008 CHD PLA 1 ALMEIDA, TED Added as Participant DEF 1 QWEST BROADBAND/CT CORP SYSTEM Added as Participant CHD NJT SCN Set for 04/11/2008 08:45 AM S in Room KE1 with Judge DME CHD 8052104084 SML CLMS FIL FE Received 14.00 CHD S Paid by: TED ALMEIDA S CHD 8052104084 KCD DIS RES SC Received 11.00 CHD Paid by: TED ALMEIDA S CHD S 03 07 2008 NJT SCN on 04/11/2008 08:45 AM CLE Changed to Room KE4 with Judge DME CLE 04 11 2008 KE1 8:50 JUDGE EIDE PLA PRESENT, SWORN AND TESTIFIES CER ALESIA GRAHAM PRESENT FOR DEF, COURT DIRECTS DEF TO HAVE A D0031I End of Docket DD1000PI 05/14/15 12:02:54 DD1001MI Case Docket Inquiry (CDK) KING COUNTY DISTRICT PUB \_\_\_\_ Case: 84-006106 SC StID: \_ \_ Case: 84-006106 SC Name: QWEST BROADBAND/CT CORP SYSTEM NmCd: CV Name/Title: TED ALMEIDA VS QWEST BROADBAND/CT CORPORATION SYSTEM Case: 84-006106 SC Small Claim 04 11 2008 LETTER OF AUTHORITY FAXED TO THE COURT TODAY CER DEF SWORN AND TESTIFIES CER PLA EXHIBIT #1 - QWEST BILL, ADMITTED CER DEF EXHIBIT #1 - TERMS OF TERMINATION, ADMITTED Judgment 1 Trial Judgment entered by Judge DME CER S 155.14 CER S Principal : S Total Judgment Amount 155.14 CER S Balance Due 155.14 CER for PLA 1 ALMEIDA, TED S agnst DEF 1 QWEST BROADBAND/CT CORP SYSTEM S CER S NJT SCN: Held CER EXHIBITS PLACED IN EXHIBIT LOCKER

EXHIBIT SCANNING SHEET - LIST OF EXHIBITS (DCORAUTO)

04 04 2011 RETENTION PERIOD EXPIRED, EXHIBITS DESTROYED

ECR

MEB

D-2

## EXHIBIT E PACER CASE LOCATOR SUMMARY



All Court Types Party Search

Wed May 13 10:14:15 2015

16 records found

User: mb0006
Client: 48687.7
Search: All Court Types Party Search Name Qwest Broadband Services All Courts Page: 1

#### Bankruptcy Results

	Party Name V	Court	Case	Ch Date Filed	Closed Disposition	
	1 Owest Broadband Services Inc (dft)	wawbke	2:01-ap-01499	09/22/2001	03/15/2002 Dismissed or S 01/29/2002	Settled Without Entry of Judgment
	Wood and Qwest Advanced Technologies	s Inc			0112012142	
			Civit	Results		
	Party Name	Court	Case	NOS	Date Filed	Date Closed
,	2 Qwest Broadband Services, Inc. (pla) Qwest Broadband Svcs, et al v. City of Bo	codce	1:2000-cv-00542	890	03/10/2000	07/20/2001
	Gwest Broadband Services, Inc. (dfl) Grosvenor v. Qwest Corporation et al	codce	1:2009-cv-02848	190	12/07/2009	02/01/2013
,	Qwest Broadband Services, Inc. (dfl) Vernon et al v. Qwest Communications In	codce	1:2009-cv-01840	370	08/04/2009	02/27/2013
,	Cwest Broadband Services Inc. (dft)	dedce	1:2011-cv-00339	830	04/15/2011	
	United Access Technologies LLC v. Cents Qwest Broadband Services Inc (cc)	cacdos	2:2007-cv-02134	830	04/02/2007	06/21/2013
	Ronald A Katz Technology Licensing L P  Owest Broadband Services Inc (dft)	wawdce	2:2008-cv-01516	370	10/15/2008	07/16/2009
	Vernon et al v. Qwest Communications In Qwest Broadband Services Inc (cc)	cacdce	2:2007-ml-01816	830	03/30/2007	12/23/2014
-	In re Katz Interactive Call Processing Pate Qwest Broadband Services Inc (dft)	cacdce	2:2007-ml-01816	830	03/30/2007	12/23/2014
10	In re Katz Interactive Call Processing Pate Qwest Broadband Services Inc. (dft)	dedce	1:2006-cv-00546	830	09/01/2006	04/11/2007
11	Ronald A. Katz Technology Licensing, L.P Qwest Broadband Services Inc (dft)	P. v. Time Warner Cab cacdoe	le inc. et al 2:2007-cv-02134	830	04/02/2007	06/21/2013
12	Ronald A Katz Technology Licensing L P Qwest Broadband Services Inc. (cc)	v. Time Warner Cable dedce	Inc 1:2006-cv-00546	830	09/01/2006	04/11/2007
12	Ronald A. Katz Technology Licensing, L.P. Qwest Broadband Services Incorporated (		le Inc. et al 4:2011-cv-00380	360	06/27/2011	01/10/2012
	Grabwoski et al v. Qwest Communications		3.84.1	***		***************************************
			Appella	ite Results		
	Party Name	Court	Case	NOS	Date Filed	Date Closed
1.4	Qwest Broadband Services, Inc. (pty) Qwest Broadband v. City of Boulder	10cae	01-1399	3890	08/21/2001	09/20/2001
15	Qwest Broadband Services, Inc. (pty) Grosvenor v. Qwest Corporation, et al	10cae	12-1095	4190	03/20/2012	08/14/2013
10	Qwest Broadband Services, Inc. (pty) Vernon, et al v. Qwest Communications, e	10cae	13-0701	1	03/11/2013	06/01/2013
	venion, et al 1. Quest Communications, e	ir al			051107045 10 11 17 10 10 10 10	7
		H		Receipt	05/13/2015 10:14:17 169211678	'
		User mb0066				1

Client 46687.7

Description All Court Types Party Search

Name Owest Broadband Services All Courts Page: 1

Pages 1 (80:10)

1:11-cv-00339-LPS United Access Technologies LLC v. CenturyTel Broadband Services LLC et al

Leonard P. Stark, presiding Date filed: 04/15/2011 Date of last filing: 05/06/2015

## Case Summary

Office: Wilmington Filed: 04/15/2011

Jury Demand: Plaintiff Demand:

Nature of Suit: 830 Cause: 35:271 Patent Infringement

Jurisdiction: Federal Question Disposition: County: XX US, Outside State Terminated:

Origin: 4 Reopened: 03/25/2015

Lead Case: None

Related Case: Other Court Case: 14-1347[] None

Defendant Custody Status:

Flags: MEDIATION-CJB, PATENT

Plaintiff: United Access represented Stamatios Stamoulis Phone: (302) 999-1540 Technologies LLC Email: stamoulis@swdelaw.com Plaintiff: United Access represented Richard Charles Phone:302-999-1540 Technologies LLC Weinblatt Email: weinblatt@swdelaw.com Defendant: CenturyTel represented Gary William Lipkin Phone: (302)657-4903 Broadband Services LLC Fax: (302) 657-4901 Email: glipkin@eckertseamans.com Phone:(302) 657-4920 Defendant: CenturyTel represented Matt Neiderman Broadband Services LLC Email: mneiderman@duanemorris.com (Designation Retained) Defendant: CenturyTel represented Benjamin A. Smyth Phone:302-984-6300 Broadband Services LLC Email: bsmyth@mccarter.com Defendant: Owest represented Gary William Lipkin Phone: (302)657-4903 Corporation Fax: (302) 657-4901 Email: glipkin@eckertseamans.com Defendant: Qwest represented Matt Neiderman Phone: (302) 657-4920 Corporation Email: mneiderman@duanemorris.com by (Designation Retained) Defendant: Owest represented Benjamin A. Smyth Phone: 302-984-6300 Corporation by Email: bsmyth@mccarter.com Counter Claimant: Qwest represented Matt Neiderman Phone: (302) 657-4920 Corporation (Designation Email: mneiderman@duanemorris.com by Retained) Counter Claimant: Quest represented Benjamin A. Smyth Phone: 302-984-6300

Corporation Email: bsmyth@mccarter.com

Phone:(302) 657-4920 Counter Claimant: represented Matt Neiderman

CenturyTel Broadband (Designation Email: mneiderman@duanemorris.com

Services LLC Retained)

Counter Claimant: represented Benjamin A. Smyth Phone: 302-984-6300

Century Tel Broadband Email: bsmyth@mccarter.com

Services LLC

Counter Defendant: United Access Technologies LLC Counter Defendant: United Access

Technologies LLC

represented Stamatios Stamoulis Phone: (302) 999-1540

Email: stamoulis@swdelaw.com

represented Richard Charles by Weinblatt Phone: 302-999-1540 Email: weinblatt@swdelaw.com

	PACER Ser	vice Center	•
	Transactio	n Receipt	
	05/13/2013	5 11:15:56	
PACER Login:	mb0066:2592544:0	Client Code:	46687.7
Description:	Case Summary	Search Criteria:	1:11-cv-00339- LPS
Billable Pages:	1	Cost:	0.10